



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

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Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NO./TITLE

0999 349,707

11/24/99

CONSTANTINO

P

EN999104

0212/0110

NOT ASSIGNED

JOSE R PIVNICHNY

JOSE R PIVNICHNY CORPORATION DEPT N50 BLDG 40 4

1701 NORTH STREET

ENDICOTT NY 13760

DATE MAILED: 2784

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

01/10/00

Due 3/10/00

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 130.00.

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☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

0949707

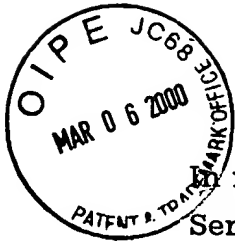
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Docket No. EN999104

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: P. A. Constantino et al

Serial No.: 09/449,707

Group No.: 2784

Filed: 11/24/99

Examiner: N/A

For: CONTRACT HANDLING METHOD AND SYSTEM

Asst. Commissioner For Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following attached correspondence comprising:

Post Card
FORM PTO-1533
Response to "Notice to File Missing Parts of Application"
Declaration (4 pgs. executed)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Asst. Commissioner for Patents

Washington, D.C. 20231

on 3-2-00.

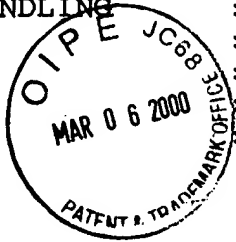
Georgia Y. Brundege

(Name of person mailing paper or fee)

Georgia Y. Brundege
(Signature of person mailing paper or fee)

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of: : Serial No.: 09/449,707
P. A. Constantino et al : Group Art Unit: 2784
Filed: 11/24/99 : Examiner: N/A
Title: CONTRACT HANDLING : IBM Corporation
METHOD AND SYSTEM : Intellectual Property Law
Department N50/40-4
1701 North Street
Endicott, NY 13760



RESPONSE TO "NOTICE TO FILE MISSING PARTS OF APPLICATION"

Assistant Commissioner For Patents

Washington, D.C. 20231

Dear Sir:

In response to the "Notice To File Missing Parts of Application" received in the present patent application and dated 01/10/00, enclosed please find a fully executed declaration. The declaration is being submitted on 03/02/00 which is within two months from the date of the notice.

Please charge the surcharge fee, under 37 CFR 1.16(e), of \$130.00 to our deposit account number 09-0457. A duplicate copy of this letter is enclosed.

Respectfully submitted,

Date 03/01/00

By John R. Pivnichny
John R. Pivnichny
Reg. No. 43,001

Phone: (607) 755-6565

Docket No: EN999104